



#7 IDS
P. 1-28-03

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q62290

Masaki OKAYASU, et al.

Appln. No.: 09/741,006

Group Art Unit: 3727

Confirmation No.: 6657

Examiner: Not yet assigned

Filed: December 21, 2000

For: PACKAGE STRUCTURE FOR A HYBRID OPTICAL MODULE AND METHOD OF
PRODUCING THE SAME

RECEIVED
DEC 10 2002
TECHNOLOGY CENTER R3700

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
Washington, D.C. 20231

Sir:

RECEIVED
NOV 12 2002
TECHNOLOGY CENTER R3700

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby
notify the U.S. Patent and Trademark Office of the documents which are listed on the attached
PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem
material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the
corresponding Communication from a Foreign Patent Office.

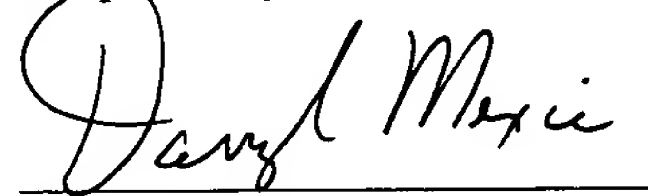
The present Information Disclosure Statement is being filed: (1) No later than three
months from the application's filing date for an application other than a continued prosecution
application (CPA) under § 1.53(d); (2) Before the mailing date of the first Office Action on the
merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a
request for continued examination (RCE) under § 1.114, and therefore, no Statement under

INFORMATION DISCLOSURE STATEMENT
U.S. Appln. No.: 09/741,006
ATTORNEY DOCKET NO. Q62290

37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since a Statement can be made, a Statement is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



Darryl Mexic
Registration No. 23,063

SUGHRUE MION, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

Date: November 7, 2002



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q62290

Masaki OKAYASU, et al.

Appln. No.: 09/741,006

Group Art Unit: 3727

Confirmation No.: 6657

Examiner: Not yet assigned

Filed: December 21, 2000

For: PACKAGE STRUCTURE FOR A HYBRID OPTICAL MODULE AND METHOD OF
PRODUCING THE SAME

STATEMENT UNDER 37 C.F.R. § 1.97(e)


Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed
concurrently herewith was first cited in any communication from a foreign patent office in a
counterpart foreign application not more than three months prior to the filing of said Information
Disclosure Statement.

Respectfully submitted,


Darryl Mexic
Registration No. 23,063

SUGHRUE MION, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3213
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

Date: November 7, 2002

RECEIVED

NOV 12 2002

TECHNOLOGY CENTER R3700

Substitute for Form 1449 A & B/PTO

NOV 07 2002

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	09/741,006
Confirmation Number	6657
Filing Date	December 21, 2000
First Named Inventor	Masaki OKAYASU
Art Unit	3727
Examiner Name	Not yet assigned
Attorney Docket Number	Q62290

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 5,285,062		2/8/1994	Lee
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

RECEIVED
DEC 10 2002
TECHNOLOGY CENTER R3700

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		EP	0 851 414	A2	7/1/1998	Sanyo Electric Co., Ltd.	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature

Date Considered

RECEIVED

NOV 12 2002

TECHNOLOGY CENTER R3700

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.